LINDA LINGLE GOVERNOR

JAMES R. AIONA, JR. Lt. Governor



MARK E. RECKTENWALD DIRECTOR

RYAN S. USHIJIMA
COMMISSIONER OF SECURITIES

## STATE OF HAWAII BUSINESS REGISTRATION DIVISION DEPARTMENT OF COMMERCE AND CONSUMER AFFAIRS 335 MERCHANT STREET, ROOM 203, HONOLULU, HAWAII 96813 P.O. BOX 40, HONOLULU, HAWAII 96810

www.BusinessRegistrations.com

## **MEMORANDUM**

**TO**: Professional Fund-Raising Counsel

Professional Solicitor

FROM: HENRY K. TANJI

Securities Compliance Branch

**SUBJECT**: Filing Requirements

Every professional fund-raising counsel or professional solicitor that intends to conduct fund-raising activities in the State of Hawaii shall file a Statement of Professional Fund-Raising Counsel or Statement of Professional Solicitor with the Department of Commerce & Consumer Affairs, Business Registration Division. One or the other statement shall be filed prior to conducting any solicitation. An originally executed \$5,000 surety bond shall be filed with each statement.

Each organization's statement shall be effective for one (1) year from July 1 of the present year through June 30 of the next year. Should a professional organization wish to continue to conduct solicitations, it shall file a renewal statement under Section 467B-12, Hawaii Revised Statutes.

Complete the proper enclosed statement in order to complete the company's filing in Hawaii. When completing the statement, indicate in the box in the upper right hand corner whether this is a new or a renewal filing. Complete the proper statement in its entirety. Incomplete or incorrectly completed statements shall not be accepted. Each statement shall be filed with a fifty dollar (\$50.00) filing fee and a completed State bond form or a bond continuation certificate if an original bond is on file.